



503.30414C22

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): KATO, et al.

Serial No.: 09/766,596

Filed: January 23, 2001

For: VACUUM PROCESSING APPARATUS AND OPERATING  
METHOD THEREFOR

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 & §1.98

Assistant Commissioner of Patents  
Washington, D.C. 20231

May 29, 2001

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of a reference to be considered by the Examiner during prosecution of the above-identified application. Also enclosed is an English abstract of this document. Further enclosed is Form, substantially equivalent to Form PTO-1449, listing this enclosed document.

This information disclosure statement is being submitted prior to a first Office Action on the merits in the above-identified application. Accordingly, the requirements of 37 CFR §1.97(b) are clearly satisfied.

The enclosed document is not in English; however, requirements of 37 CFR §1.98(a)(3) are satisfied by the enclosed English abstract. At the very least, the English abstract should be considered during examination of the above-identified application.

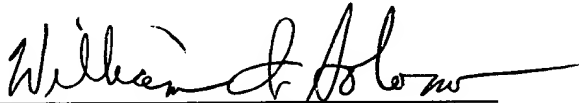
In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR §1.97 and §1.98 have been satisfied, in connection with the presently

submitted document. Accordingly, consideration of the listed document, upon examination of the above-identified application, is respectfully requested.

Please charge any shortage in fees due in connection with the filing of this paper to the Deposit Account No. 01-2135 (Case No. 503.30414C22) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

A handwritten signature in cursive script, appearing to read "William I. Solomon", written over a horizontal line.

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<b>Form PTO-1449</b>  <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DKT. NO.</b> 503.30414C22	<b>SERIAL NO.</b> 09/766,596
	<b>APPLICANT</b> KATO ET AL.	
	<b>FILING DATE</b> January 23, 2001	<b>GROUP</b>

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
	AA					
	AB					
	AC					
	AD					
	AE					
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	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Abstract	
						Yes	No
	AM	63-133521	06/06/88	Japan		x	
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AU	
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Examiner		Date Considered